# First-in-Human Phase I Study of Envafolimab, a Novel <br> Subcutaneous Single-Domain Anti-PD-L1 Antibody, in Patients with Advanced Solid Tumors 

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Key Words. Envafolimab • Anti-PD-L1 • Advanced solid tumors

Trial Information

- ClinicalTrials.gov Identifier: NCT02827968
- Principal Investigator: Wael Harb
- Sponsor: 3D Medicines Co., Ltd
- IRB Approved: Yes


## Lessons Learned

- Subcutaneous injection was an effective route of administration for envafolimab with a favorable pharmacokinetic profile in patients with previously treated advanced solid tumors.
- Subcutaneous envafolimab was well tolerated and had durable antitumor activity at a wide range of doses and schedules.
- Envafolimab has the potential to be a more convenient option than currently approved intravenous PD-1/PD-L1 inhibitors.


## Abstract

Background. Envafolimab is a novel fusion of a humanized single-domain PD-L1 antibody and human IgG1 Fc fragment formulated for subcutaneous injection. This study explored the safety and feasibility of subcutaneous administration of envafolimab as an alternative to intravenous administration of PD-1/PD-L1 inhibitors in the treatment of advanced, refractory solid tumors.
Methods. This was a first-in-human, open-label phase I trial. In a dose-escalation phase, patients received subcutaneous envafolimab $0.01-10 \mathrm{mg} / \mathrm{kg}$ once weekly following a modified $3+3$ design. In a dose-exploration phase, patients received subcutaneous envafolimab 300 mg once every 4 weeks.
Results. Twenty-eight patients were enrolled (dose escalation $n=18$, dose exploration $n=10$, median age 66 years; $71 \%$ male; ECOG performance score $=0$ [21\%] or 1 [79\%]). No doselimiting toxicities or injection-site reactions were reported. Envafolimab demonstrated dose-proportional increases in area under the time-concentration curve and maximum plasma
concentration. Median time to maximum plasma concentration was 4-7 days. In the dose-exploration phase, terminal half-life was 14 days after dose 1 in cycle 1 and 23 days at steady state Three patients experienced a confirmed partial response.
Conclusion. Subcutaneous envafolimab had a favorable safety and pharmacokinetic profile, with promising preliminary antitumor activity in patients with advanced solid tumors. The Oncologist 2021;25:1-12

## Discussion

Envafolimab is a novel recombinant protein of a humanized single-domain anti-PD-L1 antibody fused with a human IgG1 Fc fragment formulated for subcutaneous (SC) injection. This was a first-in-human phase I study to evaluate the safety and feasibility of SC administration of envafolimab as an alternative to intravenous administration of PD-1/PD-L1 inhibitors in the treatment of advanced, refractory solid tumors.

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Figure 1. Waterfall plot of tumor reduction from baseline during the dose-escalation and dose-exploration phases ( $n=18$ ). Abbreviations: GI, gastrointestinal; IHBT, intrahepatic biliary tract; NSCLC, non-small cell lung cancer; PD, progressive disease; PR, partial response; Q4W, once every 4 weeks; QW, once weekly; SD, stable disease.

Twenty-eight patients were included. The most common treatment-emergent adverse events (reported in >3 patients) were fatigue (29\%), nausea (18\%), diarrhea (14\%), and hypothyroidism (14\%). No grade $\geq 4$ study drug-related treatmentemergent adverse events, dose-limiting toxicities, or injection-
site reactions were reported. Antidrug antibodies were detected in 12 (43\%) patients, although they were transient in most and did not appear to affect pharmacokinetic exposure to envafolimab.

Objective tumor responses were observed in three patients across several dose cohorts and at a dose as low as $0.3 \mathrm{mg} / \mathrm{kg}$ once weekly (QW; Fig. 1). These responses were durable ( $24.1+$ to $59.9+$ weeks), and two of the patients still had partial responses assessed at the time of data cutoff (November 25, 2019).

Following a single SC administration in the dose-escalation phase, the maximum plasma concentration ( $\mathrm{C}_{\max }$ ) and area under the curve (AUC) increased linearly over the dose range of 0.01 to $10 \mathrm{mg} / \mathrm{kg}$ (Fig. 2). At $0.3 \mathrm{mg} / \mathrm{kg}$, two of three patients had a first-dose $C_{\max }$ that exceeded $0.5 \mu \mathrm{~g} / \mathrm{mL}$. Median time to reach $\mathrm{C}_{\max }$ was $4-7$ days. Neither first-dose $\mathrm{C}_{\max }$ nor AUC were significantly affected by injection site. During the dose-exploration phase, in which all patients received envafolimab 300 mg SC once every 4 weeks, the mean $C_{\text {max }}$ after the first dose was $14 \mu \mathrm{~g} / \mathrm{mL}$, the AUC up to the last measured concentration (week 4) was 5,850 hours* $\mu \mathrm{g} / \mathrm{mL}$, and the median time to reach $C_{\text {max }}$ was 3 days. The first-dose half-life was estimated to be 14 days. At steady state, the mean effective half-life was 23 days.

The results show that SC injection of envafolimab was an effective route of administration, was well tolerated, and had durable antitumor activity at a wide range of doses and schedules in patients with previously treated advanced solid tumors (Table 1; Fig. 1).

Table 1. Simulated pharmacokinetic data for envafolimab dosing regimens: predicted peak and trough concentrations

| Dosing regimen | Dose | Measurement | Week | Estimated blood concentration of envafolimab |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Geometric mean, mg/L (95\% CI) | 5th percentile, $\mu \mathrm{g} / \mathrm{mL}$ | 10th percentile, $\mu \mathrm{g} / \mathrm{mL}$ |
| 300 mg Q4W | 1 | Peak | 1 | 11.9 (11.8, 12.0) | 4.53 | 5.78 |
|  | 1 | Trough | 4 | 5.12 (5.07, 5.17) | 2.04 | 2.53 |
|  | 8 | Peak | 29 | 20.4 (20.2, 20.6) | 7.34 | 9.29 |
|  | 8 | Trough | 32 | 9.68 (9.55, 9.81) | 3.11 | 3.98 |
| 300 mg Q3W | 1 | Peak | 1 | 11.9 (11.8, 12.0) | 4.53 | 5.78 |
|  | 1 | Trough | 3 | 6.79 (6.72, 6.86) | 2.80 | 3.47 |
|  | 8 | Peak | 22 | 24.1 (23.8, 24.4) | 8.82 | 11.10 |
|  | 8 | Trough | 24 | 14.2 (14.0, 14.4) | 4.86 | 6.16 |
| 400 mg Q4W | 1 | Peak | 1 | 15.9 (15.7, 16.1) | 6.04 | 7.70 |
|  | 1 | Trough | 4 | 6.83 (6.76, 6.89) | 2.72 | 3.38 |
|  | 8 | Peak | 29 | 27.2 (26.9, 27.5) | 9.93 | 12.40 |
|  | 8 | Trough | 32 | 12.9 (12.7, 13.1) | 4.14 | 5.30 |
| 150 mg QW | 1 | Peak | 1 | 5.97 (5.90, 6.03) | 2.28 | 2.90 |
|  | 1 | Trough | 2 | 5.82 (5.76, 5.87) | 2.39 | 2.97 |
|  | 8 | Peak | 8 | 24.5 (24.2, 24.7) | 9.83 | 12.10 |
|  | 8 | Trough | 9 | 21.9 (21.7, 22.2) | 8.86 | 10.90 |

Abbreviations: Cl, confidence interval; Q3W, once every 3 weeks; Q4W, once every 4 weeks; QW, weekly.

## Trial Information

| Disease | Advanced cancer/solid tumor only |
| :--- | :--- |
| Stage of Disease/Treatment | Metastatic/advanced |
| Prior Therapy | No designated number of regimens |


| Type of Study | Phase I, dose escalation + dose exploration |
| :--- | :--- |
| Primary Endpoint | Safety, tolerability |
| Maximum tolerated dose, recommended phase II dose, phar- |  |
| macodynamics, other |  |

## Drug Information: Dose Escalation

Envafolimab

| Generic Name | Envafolimab |
| :--- | :--- |
| Company Name | KNO35 |
| Drug Type | Antibody |
| Drug Class | Immune therapy |
| Dose | $0.01,0.03,0.1,0.3,1,2.5,5$, and $10 \mathrm{mg} / \mathrm{kg}$ |
| Route | Subcutaneous |
| Schedule of Administration | In the dose escalation, envafolimab was administered on days |
|  | $1,8,15$, and 22 in each 28 -day cycle. |

## Drug Information: Dose Exploration

| Envafolimab | Envafolimab |
| :--- | :--- |
| Generic Name | KN035 |
| Company Name | Antibody |
| Drug Type | Immune therapy |
| Drug Class | 300 mg per flat dose |
| Dose | Subcutaneous |
| Route | In the dose exploration, patients received envafolimab as a sin- <br> gle fixed dose of 300 mg on day 1 in each 28 -day cycle. |
| Schedule of Administration |  |

Patient Characteristics: Dose Escalation
Number of Patients, Male 13
Number of Patients, Female 5

| Stage | III: $n=1$ |
| :--- | :--- |
|  | IV: $n=17$ |

Age
Performance Status: ECOG
Median (range): 71 (53-79) years
0 : $\mathrm{n}=3$
1: $\mathrm{n}=15$
Cancer Types or Histologic Subtypes
Prostate cancer, 5
Intrahepatic biliary tract cancer, 1
Non-small cell lung cancer, 2
Breast cancer, 2
Cervical cancer, 1
Bladder cancer, 1
Esophageal cancer, 1

Head and neck cancer, 1
Liver cancer, 1
Melanoma, 1
Neuroendocrine tumor, 1
Gastrointestinal stromal tumor, 1

## Patient Characteristics: Dose Exploration

Number of Patients, Male 7

Number of Patients, Female 3
Stage
Age

Median (range): 63 (35-77) years
Performance Status: ECOG
0: $\mathrm{n}=3$
1: $\mathrm{n}=7$
Cancer Types or Histologic Subtypes
Colorectal cancer, 5
Intrahepatic biliary tract cancer, 2
Prostate cancer, 1
Cervical cancer, 1
Pancreatic cancer, 1

## Patient Characteristics: Total

| Number of Patients, Male | 20 |
| :--- | :--- |
| Number of Patients, Female | 8 |
| Stage | III: $n=1$ |
|  | IV: $n=27$ |
| Age | Median (range): 66 (35-79) years |
| Performance Status: ECOG | $0: \mathrm{n}=6$ |
|  | $1: \mathrm{n}=22$ |
| Cancer Types or Histologic Subtypes | Prostate cancer, 6 |
|  | Colorectal cancer, 5 |
|  | Intrahepatic biliary tract cancer, 3 |
|  | Non-small cell lung cancer, 2 |
|  | Breast cancer, 2 |
|  | Cervical cancer, 2 |
|  | Bladder cancer, 1 |
|  | Esophageal cancer, 1 |
|  | Head and neck cancer, 1 |
|  | Liver cancer, 1 |
|  | Melanoma, 1 |
|  | Neuroendocrine tumor, 1 |
|  | Gastrointestinal stromal tumor, 1 |
|  | Pancreatic cancer, 1 |

## Secondary Assbssment Method: Dose Escalation

| Title | Tumor response |
| :--- | :--- |
| Number of Patients Screened | 19 |
| Number of Patients Enrolled | 18 |
| Number of Patients Evaluable for Toxicity | 18 |


| Number of Patients Evaluated for Efficacy | 16 |
| :--- | :--- |
| Evaluation Method | RECIST 1.1 |
| Response Assessment CR | $n=0(0 \%)$ |
| Response Assessment PR | $n=2(11 \%)$ |
| Response Assessment SD | $n=5(28 \%)$ |
| Response Assessment PD | $n=9(50 \%)$ |
| Response Assessment OTHER | $n=2(11 \%)$ |
| Median Duration of Treatment | 10.1 weeks |
| Outcome Notes | For Response Assessment, "OTHER" denotes not evaluable <br> (efficacy could not be assessed in two patients because they <br> had no postbaseline tumor assessment). |


| Secondary Assessment Method: Dose Exploration |  |
| :--- | :--- |
| Title | Tumor response |
| Number of Patients Screened | 19 |
| Number of Patients Enrolled | 10 |
| Number of Patients Evaluable for Toxicity | 10 |
| Number of Patients Evaluated for Efficacy | 8 |
| Evaluation Method | RECIST 1.1 |
| Response Assessment CR | $n=0(0 \%)$ |
| Response Assessment PR | $n=1(10 \%)$ |
| Response Assessment SD | $n=3(30 \%)$ |
| Response Assessment PD | $n=4(40 \%)$ |
| Response Assessment OTHER | $n=2(20 \%)$ |
| Median Duration of Treatment | 8.4 weeks |
| Outcome Notes | For Response Assessment, "OTHER" denotes not evaluable <br> (efficacy could not be assessed in two patients because they <br> had no postbaseline tumor assessment). |


| Secondary Assessment Method: Total |  |
| :--- | :--- |
| Title | Tumor response |
| Number of Patients Screened | 38 |
| Number of Patients Enrolled | 28 |
| Number of Patients Evaluable for Toxicity | 28 |
| Number of Patients Evaluated for Efficacy | 24 |
| Evaluation Method | RECIST 1.1 |
| Response Assessment CR | $n=0(0 \%)$ |
| Response Assessment PR | $n=3(11 \%)$ |
| Response Assessment SD | $n=8(29 \%)$ |
| Response Assessment PD | $n=13(46 \%)$ |
| Response Assessment OTHER | $n=4(14 \%)$ |
| Median PFS | 2.8 months, $95 \%$ CI: $1.8-7.6$ |
| Median OS | 8.5 months, $95 \%$ CI: $3.1-17.4$ |
| Median Response Duration | 24.9 weeks |
| Median Duration of Treatment | 8.6 weeks |

## Outcome Notes

For Response Assessment, "OTHER" denotes not evaluable (efficacy could not be assessed in four patients because they had no postbaseline tumor assessment).
The three patients who achieved a PR comprised one patient with non-small cell lung cancer who received $0.3 \mathrm{mg} / \mathrm{kg}$ envafolimab QW (response duration 24.9 weeks), one patient with microsatellite instability-high prostate cancer who received $2.5 \mathrm{mg} / \mathrm{kg}$ envafolimab QW (response duration 59.9+ weeks), and one patient with microsatellite stable, tumor mutation burden-high ( 16 mutations/ Mb ) colon cancer who received 300 mg Q4W (response duration 24.1+ weeks).
At data cutoff (November 25, 2019), 24 of the 28 patients had discontinued treatment. The main reasons for treatment discontinuation were disease progression ( $n=17$ ) and unacceptable adverse events ( $n=4$ ). Duration of treatment ranged from 3.9 to $66.1+$ weeks.

Adverse Events: Dose Escalation

| All Dose Levels, All Cycles | NC/NA | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | All grades |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Name | $61 \%$ | $22 \%$ | $17 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $39 \%$ |
| Fatigue | $78 \%$ | $11 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $22 \%$ |
| Nausea | $83 \%$ | $6 \%$ | $0 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $17 \%$ |
| Alanine aminotransferase increased | $83 \%$ | $6 \%$ | $0 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $17 \%$ |
| Aspartate aminotransferase increased | $83 \%$ | $11 \%$ | $6 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $17 \%$ |
| Diarrhea | $83 \%$ | $17 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $17 \%$ |
| Dry mouth | $89 \%$ | $0 \%$ | $0 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Abdominal pain | $89 \%$ | $0 \%$ | $0 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Blood alkaline phosphatase increased | $89 \%$ | $6 \%$ | $6 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Constipation | $89 \%$ | $6 \%$ | $6 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Decreased appetite | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Hypokalemia | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Hypomagnesemia | $89 \%$ | $6 \%$ | $0 \%$ | $6 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Hypophosphatemia | $89 \%$ | $0 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Hypothyroidism | $83 \%$ | $0 \%$ | $0 \%$ | $11 \%$ | $6 \%$ | $0 \%$ | $17 \%$ |
| Lymphopenia | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Musculoskeletal chest pain | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Musculoskeletal stiffness | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Pain in extremity | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Rash maculo-papular | $89 \%$ | $6 \%$ | $6 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Salivary hypersecretion | $89 \%$ | $6 \%$ | $6 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Skin abrasion | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Vomiting |  |  |  |  |  |  |  |

## Adverse Events Legend

Adverse events occurring in $\geq 10 \%$ of patients are shown.
There were no DLTs.
Abbreviation: NC/NA, no change from baseline/no adverse event.

Serious Adverse Events: Dose Escalation

| Name | Grade | Attribution |
| :--- | :--- | :--- |
| Lung infection | 3 | Unrelated |
| Pneumonia | 3 | Unrelated |
| Pneumothorax | 3 | Unrelated |
| Pneumothorax | 3 | Unrelated |
| Compression fracture | 3 | Unrelated |


| Pneumonia | 3 | Unrelated |
| :--- | :--- | :--- |
| Gastroenteritis | 3 | Unrelated |
| Viral infection | 3 | Unrelated |
| Pancreatitis | 2 | Unrelated |
| Rectal hemorrhage | 3 | Unrelated |
| Deep vein thrombosis | 3 | Unrelated |
| Bile duct obstruction | 3 | Unrelated |
| Abdominal pain | 3 | Possible |
| Aspartate aminotransferase increased | 3 | Possible |
| Alanine aminotransferase increased | 3 | Possible |

## Serious Adverse Events Legend

The two SAEs of pneumonia and two SAEs of pneumothorax all occurred in the same patient.
The SAEs of viral infection and pancreatitis occurred $>30$ days after the last dose of study drug.

| Adverse Events: Dose Exploration |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| All Cycles |  |  |  |  |  |  |  |
| Name | NC/NA | 1 | 2 | 3 | 4 | 5 | All Grades |
| Dehydration | 70\% | 10\% | 10\% | 10\% | 0\% | 0\% | 30\% |
| Enterocolitis infectious | 80\% | 0\% | 20\% | 0\% | 0\% | 0\% | 20\% |
| Hypothyroidism | 80\% | 0\% | 20\% | 0\% | 0\% | 0\% | 20\% |
| Allergic rhinitis | 90\% | 10\% | 0\% | 0\% | 0\% | 0\% | 10\% |
| Back pain | 90\% | 0\% | 0\% | 10\% | 0\% | 0\% | 10\% |
| Blepharitis | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Cough | 90\% | 10\% | 0\% | 0\% | 0\% | 0\% | 10\% |
| Dermatitis acneiform | 90\% | 10\% | 0\% | 0\% | 0\% | 0\% | 10\% |
| Diarrhea | 90\% | 0\% | 0\% | 10\% | 0\% | 0\% | 10\% |
| Diverticulitis | 90\% | 0\% | 0\% | 10\% | 0\% | 0\% | 10\% |
| Dyspnea | 90\% | 0\% | 0\% | 0\% | 0\% | 10\% | 10\% |
| Fatigue | 90\% | 10\% | 0\% | 0\% | 0\% | 0\% | 10\% |
| Fecaloma | 90\% | 0\% | 0\% | 10\% | 0\% | 0\% | 10\% |
| Hypokalemia | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Hypomagnesemia | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Nausea | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Pneumonitis | 90\% | 10\% | 0\% | 0\% | 0\% | 0\% | 10\% |
| Rectal tenesmus | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Sepsis | 90\% | 0\% | 0\% | 0\% | 10\% | 0\% | 10\% |
| Urinary tract infection | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Vomiting | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |
| Wound | 90\% | 0\% | 10\% | 0\% | 0\% | 0\% | 10\% |

## Adverse Events Legend

Adverse events occurring in $\geq 10 \%$ of patients are shown.
Abbreviation: NC/NA, no change from baseline/no adverse event

Serious Adverse Events: Dose Exploration

| Name | Grade | Attribution |
| :--- | :--- | :--- |
| Sepsis | 4 | Unlikely |
| Sepsis | 3 | Unlikely |
| Sepsis | 4 | Unlikely |
| Diverticulitis | 3 | Unrelated |
| Fecaloma | 3 | Unrelated |


| Back pain | 3 | Unrelated |
| :--- | :--- | :--- |
| Dehydration | 3 | Unrelated |
| Urinary tract infection | 2 | Unrelated |
| Dyspnea | 5 | Unrelated |

## Serious Adverse Events Legend

The three SAEs of sepsis all occurred in the same patient.

| AdVERSE Events: Total |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| All Dose Levels, All Cycles | NC/NA | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | All grades |
| Name | $71 \%$ | $18 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $29 \%$ |
| Fatigue | $82 \%$ | $7 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $18 \%$ |
| Nausea | $86 \%$ | $7 \%$ | $4 \%$ | $4 \%$ | $0 \%$ | $0 \%$ | $14 \%$ |
| Diarrhea | $86 \%$ | $0 \%$ | $14 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $14 \%$ |
| Hypothyroidism | $89 \%$ | $4 \%$ | $0 \%$ | $7 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Alanine aminotransferase increased | $89 \%$ | $4 \%$ | $0 \%$ | $7 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Aspartate aminotransferase increased | $89 \%$ | $4 \%$ | $4 \%$ | $4 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Dehydration | $89 \%$ | $11 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Dry mouth | $89 \%$ | $7 \%$ | $4 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Hypokalemia | $89 \%$ | $7 \%$ | $4 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Hypomagnesemia | $89 \%$ | $0 \%$ | $0 \%$ | $7 \%$ | $4 \%$ | $0 \%$ | $11 \%$ |
| Lymphopenia | $89 \%$ | $7 \%$ | $4 \%$ | $0 \%$ | $0 \%$ | $0 \%$ | $11 \%$ |
| Vomiting |  |  |  |  |  |  |  |

Adverse Events Legend
Adverse events occurring in $\geq 10 \%$ of patients are shown.
Abbreviation: NC/NA, no change from baseline/no adverse event.

Serious Adverse Events: Total

| Name | Grade | Attribution |
| :--- | :--- | :--- |
| Lung infection | 3 | Unrelated |
| Pneumonia | 3 | Unrelated |
| Pneumothorax | 3 | Unrelated |
| Pneumothorax | 3 | Unrelated |
| Compression fracture | 3 | Unrelated |
| Pneumonia | 3 | Unrelated |
| Gastroenteritis | 3 | Unrelated |
| Viral infection | 3 | Unrelated |
| Pancreatitis | 2 | Unrelated |
| Rectal hemorrhage | 3 | Unrelated |
| Deep vein thrombosis | 3 | Unrelated |
| Bile duct obstruction | 3 | Unrelated |
| Abdominal pain | 3 | Possible |
| Aspartate aminotransferase increased | 3 | Possible |
| Alanine aminotransferase increased | 3 | Possible |
| Sepsis | 4 | Unlikely |
| Sepsis | 3 | Unlikely |
| Sepsis | 4 | Unlikely |
| Diverticulitis | 3 | Unrelated |
| Fecaloma | 3 | Unrelated |
| Back pain | 3 | Unrelated |
| Dehydration | 3 | Unrelated |


| Urinary tract infection | 2 | Unrelated |
| :--- | :--- | :--- |
| Dyspnea | 5 | Unrelated |

## Serious Adverse Events Legend

The two SAEs of pneumonia and two SAEs of pneumothorax all occurred in the same patient. The SAEs of viral infection and pancreatitis occurred $>30$ days after the last dose of study drug. The three SAEs of sepsis all occurred in the same patient.

| Pharmacokinetics/Pharmacodynamics: Dose Escalation |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Dose level | Dose of drug: envafolimab | No. enrolled | $\begin{aligned} & \mathrm{C}_{\text {max }}(\mu \mathrm{g} / \mathrm{mL}) \\ & \operatorname{mean}(\mathrm{CV}) \end{aligned}$ | $\mathrm{T}_{\text {max }}$ (hours) median (min-max) | $\mathrm{AUC}_{0 \text {-last }}$ (hours* $\mu \mathrm{g} / \mathrm{mL}$ ) mean (CV) |
| 1 | $0.01 \mathrm{mg} / \mathrm{kg}$ | 1 | 0.370 | 167 | 48.0 |
| 2 | $0.03 \mathrm{mg} / \mathrm{kg}$ | 1 | 0.095 | 96.0 | 7.60 |
| 3 | $0.1 \mathrm{mg} / \mathrm{kg}$ | 1 | 0.702 | 168 | 81.5 |
| 4 | $0.3 \mathrm{mg} / \mathrm{kg}$ | 3 | 0.588 (68\%) | 97.1 (95.8-144) | 75.9 (73\%) |
| 5 | $1 \mathrm{mg} / \mathrm{kg}$ | 3 | 2.87 (30\%) | 97.4 (50.7-168) | 367 (32\%) |
| 6 | $2.5 \mathrm{mg} / \mathrm{kg}$ | 3 | 10.8 (35\%) | 96.3 (48.8-168) | 1,494 (33\%) |
| 7 | $5 \mathrm{mg} / \mathrm{kg}$ | 3 | 19.4 (29\%) | 121 (97.0-167) | 2,330 (54\%) |
| 8 | $10 \mathrm{mg} / \mathrm{kg}$ | 3 | 32.9 (37\%) | 95.9 (95.9-167) | 4,483 (43\%) |

Pharmacokinetics/Pharmacodynamics: Dose Exploration

| Dose level | Dose of drug: envafolimab | No. enrolled | $\begin{aligned} & C_{\max } \\ & (\mu \mathrm{g} / \mathrm{mL}) \\ & \text { mean }(\mathrm{CV}) \end{aligned}$ | $\mathrm{T}_{\text {max }}$ (hours) median (min-max) | $\mathrm{AUC}_{0 \text {-last }}$ (hours* $\mu \mathrm{g} / \mathrm{mL}$ ) mean (CV) | $t^{1} / 2$ (hours) mean (CV) | CI F ( mL /hour) mean (CV) | $\begin{aligned} & V / F(L) \\ & \text { mean (CV) } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 (cycle <br> 1, day 1) | 300 mg | 10 | 14.0 (32\%) | 71.9 (47-3-167) | 5,850 (39\%) | 362 (36\%) | 36.5 (25\%) | 18.7 (44\%) |
| 1 (cycle <br> 5, day 1) | 300 mg | 3 | 23.1 (6.3\%) | 70.6 (46.9-93.5) | 10,533 (4.8\%) | 546 (8.9\%) | 28.5 (4.6\%) | 16.7 (20\%) |

Assessment, Analysis, and Discussion

## Completion

Investigator's Assessment

Currently approved anti-PD-1/PD-L1 antibodies are administered intravenously (IV). The potential benefits of subcutaneous (SC) administration facilitated the discovery and development of envafolimab, a recombinant protein of a humanized single-domain anti-PD-L1 antibody fused with a human $\operatorname{lgG1}$ Fc fragment [1]. In a first-in-human phase I study, the safety, tolerability, pharmacokinetics, and antitumor activity of SC envafolimab ( $200 \mathrm{mg} / \mathrm{mL}$ ) were evaluated in 28 adults with advanced, refractory solid tumors.

In a dose-escalation phase, no dose-limiting toxicities were reported, the maximum tolerated dose was not reached, and the maximum dose administered was $10 \mathrm{mg} / \mathrm{kg}$ once weekly (QW). As of the data cutoff date, there were no infusion-related or injection-site reactions in any treated patient. Treatmentemergent adverse events (TEAEs) reported in >3 patients included fatigue ( $n=8$ ), nausea $(n=5)$, diarrhea $(n=4)$, and hypothyroidism ( $n=4$ ). Grade $\geq 3$ TEAEs occurred in 10 of 18 patients in the dose-escalation phase and 4 of 10 in the dose-exploration phase. Fourteen patients overall had TEAEs considered to be drug related, most of which were grade $\leq 2$. The most common of these were expected and previously reported for other PD-1/PD-L1 antibodies in patients with solid

## Study completed

Active and should be pursued further
tumors [2-4]. Three patients reported grade 3 study drugrelated TEAEs, including lymphocytopenia ( $n=1,0.1 \mathrm{mg} / \mathrm{kg}$ ), abdominal pain ( $n=1,10 \mathrm{mg} / \mathrm{kg}$ ), and increased alanine aminotransferase, aspartate aminotransferase, and blood alkaline phosphatase ( $n=2,10.0 \mathrm{mg} / \mathrm{kg}$ ). No grade $\geq 4$ study drugrelated TEAEs were reported in either the dose-escalation or dose-exploration phase. A single patient who received $10 \mathrm{mg} / \mathrm{kg}$ envafolimab in the dose-escalation phase had three serious TEAEs considered related to the study drug (grade 3 events of abdominal pain, increased alanine aminotransferase, and increased aspartate aminotransferase). These were treated with corticosteroids and resolved with sequelae. Five patients (18\%) experienced TEAEs leading to treatment discontinuation. In one patient, these events (increased alanine aminotransferase and aspartate aminotransferase) were considered to be drug related.

Three patients had confirmed partial responses according to RECIST version 1.1 (Fig. 1), of whom two had an ongoing response at data cutoff. Eight patients who received envafolimab had a best overall response of stable disease. The disease control rate was $39.3 \%$ ( $95 \%$ confidence interval [CI], $21.5-59.4$ ), and the objective response rate was $10.7 \%$ ( $95 \% \mathrm{Cl}$,
2.3-28.2), in line with the efficacy of other anti-PD-1/PD-L1 antibodies in previously treated patients with advanced solid tumors [3, 5, 6]. Best reductions in tumor size from baseline are shown in Figure 1. The median progression-free survival was 2.8 months ( $95 \%$ confidence interval, 1.8-7.6) and median overall survival was 8.5 months ( $95 \%$ confidence interval, 3.1-17.4). A recently completed phase II trial in patients with microsatellite instability-high tumors (NCTO3667170) provided confirmatory evidence of the efficacy of SC envafolimab, with an objective response rate of $42.7 \%$ at a dose of 150 mg QW [7].

Following a single SC administration in the dose-escalation phase, envafolimab could be detected in the serum of each patient for at least one time point at all dose levels. The maximum plasma concentration ( $\mathrm{C}_{\max }$ ) and area under the curve (AUC) increased linearly over the dose range of $0.01-10 \mathrm{mg} / \mathrm{kg}$ (Fig. 2). At $0.3 \mathrm{mg} / \mathrm{kg}$, two of three patients had a first-dose $\mathrm{C}_{\text {max }}$ that exceeded $0.5 \mu \mathrm{~g} / \mathrm{mL}$. The median time to reach $\mathrm{C}_{\text {max }}$ was 4-7 days. Neither first-dose $\mathrm{C}_{\max }$ nor AUC were significantly affected by injection site (Fig. 3). During the doseexploration phase, in which all patients received envafolimab 300 mg SC once every 4 weeks ( Q 4 W ), the mean $\mathrm{C}_{\text {max }}$ after the first dose was $14 \mu \mathrm{~g} / \mathrm{mL}$, and the median time to reach $\mathrm{C}_{\max }$ was 3 days. The first-dose half-life was estimated to be 14 days, and at steady state (first day of cycle 5), the mean effective half-life was 23 days. Pharmacokinetics simulations estimated that most patients would attain steady state after five cycles and that $>90 \%$ of those receiving envafolimab 300 mg once every 3 weeks (Q3W) and 400 mg Q4W would maintain trough concentration above $5 \mu \mathrm{~g} / \mathrm{mL}$ (Table 1), which is at least 10 -fold higher than the minimum pharmacologically active concentration ( $0.5 \mu \mathrm{~g} / \mathrm{mL}$ [1]).

Antidrug antibodies (ADAs) were detected in 12 of the 28 patients, of whom 2 had pre-existing ADAs. The frequency of de novo ADA production (36\%) is in the range reported for IV administered nivolumab and atezolizumab but higher than for pembrolizumab and cemiplimab [8]. For the 10 patients who developed ADAs following treatment, the median time to first detection was 4.1 weeks and the median duration of positivity was 4.1 weeks (Table 2). Nine of these patients had additional ADA data, of whom three had only negative tests and two had a negative test at the last assessment. Dose-normalized steady-state trough concentrations did not significantly differ between patients without and with ADAs, irrespective of when they were detected (Fig. 4).

These results provide data to select the dosing of SC envafolimab. When administered QW, SC envafolimab was safe up to the maximum administered dose of $10 \mathrm{mg} / \mathrm{kg}$ and was active at doses as low as $0.3 \mathrm{mg} / \mathrm{kg}$. SC administration at a dose of 300 mg Q4W was also feasible and resulted in a similar tumor response and safety as SC administration of doses $\geq 0.3 \mathrm{mg} / \mathrm{kg}$ administered QW. As with other PD-1 and anti-PD-L1 antibodies [9], increasing
the dose of envafolimab was not associated with an improvement in objective response or increased toxicity. These results support use of a fixed-dose schedule administered Q3W or Q4W for the future clinical development of SC envafolimab. In ongoing studies, 300 mg Q3W and 400 mg Q4W is being investigated.

This phase I study showed that SC injection of envafolimab at $200 \mathrm{mg} / \mathrm{mL}$ was an effective route of administration, was well tolerated, and had durable antitumor activity at a wide range of doses and schedules in patients with previously treated advanced solid tumors. A recent phase I trial of the humanized PD-1 monoclonal antibody PF-068-1591 showed that it was well tolerated and had antitumor activity when administered SC , although three separate $2-\mathrm{mL}$ injections of $50 \mathrm{mg} / \mathrm{mL}$ were required to deliver the full dose [10]. Like envafolimab, SC administration of PF-068-1591 resulted in prolonged absorption (median time to $\mathrm{C}_{\max } \sim 8$ days). It also resulted in a lower $\mathrm{C}_{\text {max }}$ and correspondingly fewer grade $\geq 3$ TEAEs than IV administration. Therefore, the slower absorption, lower $\mathrm{C}_{\text {max }}$, and prolonged half-life of envafolimab may offer advantages over IV administration.

In conclusion, envafolimab is the first-in-class PD-1/PDL1 antibody that can be administered at a therapeutic dose in a single SC injection of under 2 mL . As such, envafolimab has the potential to be a more convenient option than currently approved IV PD-1/PD-L1 inhibitors.

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## Figures and Tables



Figure 2. Relationship between natural log-transformed dose and $C_{\max }(A)$ and $A U C_{\text {last }}(B)$. Slopes were calculated by nonlinear regression analysis.
Abbreviations: $A \cup C_{\text {last, }}$, area under the curve until the last measurement; $\mathrm{C}_{\text {max }}$, maximum plasma concentration.


Figure 3. Effect of subcutaneous injection site on first-dose dose-normalized $\mathrm{C}_{\text {max }}(\mathrm{A})$ Or dose-normalized AUC $_{\text {last }}$ (B).
Abbreviations: $A U C_{\text {last }}$, area under the curve until the last measurement; $\mathrm{C}_{\text {max }}$, maximum plasma concentration.


Figure 4. Dose-normalized steady-state serum concentrations of envafolimab in patients without and with antidrug antibodies. Abbreviations: ADA, antidrug antibody; $\mathrm{C}_{\text {min,ss }}$, minimum serum concentration at steady state.

Table 2. Antidrug antibodies

| Measure | Overall ADA analysis population ( $\boldsymbol{n}=\mathbf{2 8}$ ) |
| :--- | :---: |
| Baseline test result, $n$ (\%) | $2(7)$ |
| Positive | $26(93)$ |
| Negative | $12(43)$ |
| Test result during treatment, $n(\%)$ | $10(36)$ |
| Positive |  |
| Positive but negative at baseline | $3.6(1.0)$ |
| Time to positivity in participants negative at baseline, weeks | 4.1 |
| Mean (SD) | $2.0-4.4$ |
| Median | 4.1 |
| Min-max | $0.14+, 31.14+$ |
| Duration of positivity in participants negative at baseline, weeks |  |
| Median | $9(32)$ |
| Min, max | $3(11)$ |
| Participants who were negative at baseline, |  |
| had a positive postbaseline test, and had $\geq 1$ subsequent test result, $n(\%)$ | $6(21)$ |
| Data available | $4(14)$ |
| All subsequent test results were negative | $2(7)$ |
| $\geq 1$ subsequent test result was positive |  |
| Last test was positive |  |
| Last test was negative |  |

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